

# Guidance notes for Submission of Online Application Form for Assessment on Investment Requirements under the New Capital Investment Entrant Scheme

## 1) Introduction

### IHK-CIES02 Application for Assessment on Investment Requirements under the New Capital Investment Entrant Scheme

1) Introduction	
2) Important Announcement	<h4>New Capital Investment Entrant Scheme</h4> <p><b>Online Application for Assessment on Investment Requirements</b></p> <p><b>Assessment on Investment Requirements</b> Upon your completion of making the committed investment within the specified investment timeframe, you are required to submit application to the New CIES Office for verifying whether you have fulfilled the Investment Requirements of the New CIES. You must invest a minimum of HK\$27 million in the Permissible financial assets and/or real estate, and is required to place HK\$3 million into a CIES Investment Portfolio.</p> <p>For information on the Investment Requirements of the New CIES, please visit <a href="#">the New CIES website</a>.</p> <p><b>Documents to be Submitted Online</b> Copy of contract(s) made with the appointed financial intermediary(ies).</p> <p><b>Documents to be Submitted by Post/in Person after Online Submission</b> After submitting the online application, the following documents must be submitted by post/in person to the New CIES Office (Address: 15/F, Revenue Tower, 5 Gloucester Road, Wan Chai, Hong Kong) within seven calendar days. Please make sure you have the following documents ready:</p> <ul style="list-style-type: none"><li>(a) a Fulfillment document issued by a Certified Public Accountant ("CPA") (Practising) as defined in the <a href="#">Accounting and Financial Reporting Council Ordinance</a> (Cap. 588 of the Laws of Hong Kong) (including <a href="#">Permissible Investment Assets Statement</a>);</li><li>(b) statements issued by the appointed financial intermediary(ies) showing your investment in Permissible investment assets under the New CIES;</li><li>(c) should you invest in real estate, proof of acquisition and relevant documents, e.g. Land Registry record, etc.; and</li><li>(d) all relevant supporting documents (originals, or photocopies certified true by you, i.e. duly signed by you on the first and last pages of each set of supporting documents) stated in the Fulfillment document, including but not limited to the documents in paragraphs (b) and (c) above, e.g. bank statements or letters, documents proving fulfillment of all the conditions of Holding Company, etc., assisted in demonstrating your fulfillment of the Investment Requirements under the New CIES.</li></ul> <p><small>#No more than 14 calendar days may elapse between the date of issue of the Fulfillment document and the date you lodge this application for Assessment on Investment Requirements.</small></p> <p>For information on CPA (Practising) in Hong Kong, please visit the <a href="#">Accounting and Financial Reporting Council webpage</a>.</p>
3) Application Reference Number	
4) Personal Particulars	
5) Agent's Information	
6) Certified Public Accountant (Practising) and Fulfillment Document	
7) Holding Company Managed by Family Office	
8) Financial Intermediary	
9) Permissible Investment Assets	
10) Declaration of Applicant	
11) Signature of Applicant	
12) Statement of Purpose	

An Applicant should make sure that these documents are ready before submitting the online application for Assessment on Investment Requirements.



## 1) Introduction (Continued)

An Applicant must check this box to confirm that he/she has prepared the necessary documents for application.

\*

I have prepared the above necessary documents for application.

### How to Start

Once you have prepared the above necessary documents, you can access the online service through the following link. Please select a form language. If you change the form language while filling in the form, you will have to fill in the form again.

Please ensure that the information you provide is correct. Incorrect information may render your application invalid.

### Assistance and Further Information

If you need technical assistance in using the online service, you can contact the New CIES Office.

Address: 15/F, Revenue Tower, 5 Gloucester Road, Wan Chai, Hong Kong

Telephone no.: (852) 3904 3001

E-mail: [newcies@investhk.gov.hk](mailto:newcies@investhk.gov.hk)

Website: [www.newcies.gov.hk](http://www.newcies.gov.hk)

The opening hours are 9:00 a.m. to 12:30 p.m. and 1:30 p.m. to 5:00 p.m., Mondays to Fridays (closed on Saturdays, Sundays and public holidays).

The Frequently Asked Questions on application for the New CIES are available on the [New CIES webpage](#).

I Want To

Start Filling in a New Form

Fill in a Saved Form

An Applicant may choose to fill in a New Form, or attach a Saved Form file from his/her device and enter his/her pre-set password to continue filling in a saved form.

## 2) Important Announcement

An Applicant should read the Scheme Rules and the important notes carefully.

**Application for Assessment on Investment Requirements under the New Capital Investment Entrant Scheme**

Note:

- Please read the ["Rules for the New Capital Investment Entrant Scheme" \("Scheme Rules"\)](#) carefully.
- Please complete this application in Chinese/English.
- Please ensure that all relevant parts are fully completed. Application will be considered on the basis of the information furnished on this application and on the documentary evidence provided.

Notwithstanding that you have already furnished the documents and information above, you may still be required to submit further supporting documents and information in connection with your application when necessary.

Warning: A person who knowingly and wilfully makes a statement or gives information which he/she knows to be false or does not believe to be true shall be guilty of an offence under the Laws of Hong Kong and the result of Assessment on Investment Requirements shall have no effect.

\* Mandatory fields

**Save**   **Back**   **Next**

If an Applicant wishes to complete his/her application later, he/she can click the "Save" button on any relevant page of the application form. When the "Save Form" window pops up, the Applicant can set his/her own password and click "Save" again. The password-protected Saved Form file will be downloaded to his/her current device.

The Applicant can later attach his/her Saved Form file and enter his/her pre-set password again in the first page of the online application form to continue filling in his/her saved form.

**Save Form**

This form data file (the file) will be saved into your current device. Please use a password to protect the file.  
**Caution: Please securely store this file and its password to enable future form completion by loading the file.**  
This system does not retain any form data associated with this file. Please be aware that if the file is lost or the password is forgotten, the entered data cannot be recovered.

- Password must be at least 8 characters
- Password must be including a number, an uppercase letter, a lowercase letter and a special character

Password \*

Confirm Password \*

**Cancel**   **Save**

### 3) Application Reference Number

#### Application Reference Number

I have received the Application Reference Number for the New Capital Investment Entrant Scheme issued by InvestHK. \*

- Yes
- No, I only have the Transaction Reference Number from my previous online submission of the IHK-CIES01 Application for Net Asset Assessment.



Please refer to the email from InvestHK on "Acknowledgement of application (Application for Net Asset Assessment)" and fill in your Application Reference Number.

Application Reference Number \*

xx - xxxxxx - xx

If an Applicant selects "Yes", he/she is required to fill in the Application Reference Number allocated by InvestHK.

\* Mandatory fields

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#### Application Reference Number

I have received the Application Reference Number for the New Capital Investment Entrant Scheme issued by InvestHK. \*

- Yes
- No, I only have the Transaction Reference Number from my previous online submission of the IHK-CIES01 Application for Net Asset Assessment.



Please refer to the acknowledgement receipt for your online submission of IHK-CIES01 Application for Net Asset Assessment and fill in the Transaction Reference Number of your online application.

Transaction Reference Number \*

If an Applicant selects "No", he/she is required to fill in the Transaction Reference Number received from the acknowledgement receipt of online application for Net Asset Assessment.

\* Mandatory fields

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## 4) Personal Particulars

**Personal Particulars**

Please fill in your personal information according to your travel document.

Surname in English \*

NEW

Given names in English \*

CIES

Date of birth

2001-01-11

I only have year and/or month of birth.

---

**Update of Personal Particulars**

After submitting the application for Net Asset Assessment, do you have any updates on your personal particulars? \*

Yes  No

\* Mandatory fields

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An Applicant should input his/her surname and given names according to his/her travel document.

An Applicant must check to confirm if he/she has any updates on his/her personal particulars.

## 4) Personal Particulars (Continued)

### Update of Personal Particulars

After submitting the application for Net Asset Assessment, do you have any updates on your personal particulars? \*

Yes  No



#### About Update of Personal Particulars

Please leave it blank if the item is without changes or not applicable.

Chinese Name (if any)

Alias in Chinese (if any)

Maiden surname in English (if applicable)

Alias surname in English (if any)

Alias given names in English (if any)

Sex

Male  Female

For unknown sex, please select both 'Male' and 'Female'.

Nationality / Place of domicile (applicable to Mainland, Macao and Taiwan residents)

Type of travel documents held

Hong Kong Identity Card Number (if any)

Date of issue (if any)

Residential address

Telephone no.

Personal e-mail address

Correspondence address

If it is different from the residential address, you can provide your office address or provide other correspondence address.

Other telephone no.

If an Applicant selects "Yes", he/she is required to update his/her personal particulars in the relevant box(es). If the item is without changes or not applicable, the Applicant should leave the box blank.

\* Mandatory fields

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## 5) Agent's Information

**Update of Agent's Information**

After submitting the application for Net Asset Assessment, do you have any updates on agent's information? \*

Yes  No

**An Applicant must check to confirm if he/she has any updates on his/her agent's information.**

If you need to change your agent's information or appoint another agent to handle the application matters on your behalf in the future, you have to submit a written authorisation letter. The authorisation letter must clearly state the following information: the name of you and the agent, your identity document number, the contact information of the agent and the Affiliated Company (if applicable) including telephone number and email address, the effective date of the authorisation letter, the signature of you and the agent, etc.

\* Mandatory fields

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**Update of Agent's Information**

After submitting the application for Net Asset Assessment, do you have any updates on agent's information? \*

Yes  No

I need to update my current agent's information.  
 I have appointed and authorised another agent to handle the application on my behalf.  
 I no longer appoint or authorise an agent to handle the application on my behalf.

**If an Applicant selects "Yes", he/she must choose an applicable option, and fill in the information as appropriate.**

If you need to change your agent's information or appoint another agent to handle the application matters on your behalf in the future, you have to submit a written authorisation letter. The authorisation letter must clearly state the following information: the name of you and the agent, your identity document number, the contact information of the agent and the Affiliated Company (if applicable) including telephone number and email address, the effective date of the authorisation letter, the signature of you and the agent, etc.

\* Mandatory fields

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## 6) Certified Public Accountant (Practising) and Fulfillment Document

### Certified Public Accountant (Practising) and Fulfillment Document

Please fill in the information of your engaged Certified Public Accountants ("CPA") (Practising) as defined in the Accounting and Financial Reporting Council Ordinance (Cap. 588 of the Laws of Hong Kong) and the Fulfillment document, to assist in demonstrating your fulfillment of Investment Requirements as stated in paragraphs 2.1(d) and 5 of the Scheme Rules.



Please refer to paragraphs 4.6 and 5 of the Scheme Rules. You must submit the Fulfillment document issued by a CPA (Practising) and all relevant supporting documents stated therein. No more than 14 calendar days may elapse between the date of issuance of the Fulfillment document and the date you lodge this Application for Assessment on Investment Requirements.

Name of CPA firm/CPA \*

ABC CPA LIMITED

Registration no. of CPA firm/CPA \*

P01234

An Applicant must fill in the information of his/her engaged Certified Public Accountant (Practising) issuing the Fulfillment document.

Name of contact person \*

CHAN TAI MAN

Post title of contact person \*

CERTIFIED PUBLIC ACCOUNTANT

Telephone no. of contact person \*

+ 852 39043001 ( Ext. (if any) )

Email address of contact person \*

newcies@investhk.gov.hk

Date of issue of the Fulfillment document \*

2024-09-05



No more than **14 calendar days** may elapse between the date of issuance of the Fulfillment document and the date the Applicant lodges the Application for Assessment on Investment Requirements.

\* Mandatory fields

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## 7) Holding Company Managed by Family Office

### 7) Holding Company Managed by Family Office

Please refer to paragraphs 1.11 and 6.1 of the [Scheme Rules](#).

Are all/part of the Permissible investment assets held in the name of a Holding Company as defined in the Scheme Rules?

Yes  No

This Holding Company is required to fulfill the following conditions –

- (1) incorporated or registered in Hong Kong;
- (2) wholly owned by you;
- (3) only holds Permissible investment assets;
- (4) in the form of Family-owned Investment Holding Vehicle (FIHV), or Family-owned Special Purpose Entity under an FIHV, where the FIHV has at least two full-time employees in Hong Kong and incurs at least HK\$2 million operating expenditure annually in Hong Kong to carry out the activities of FIHV; and
- (5) managed by an Eligible Single Family Office of your family which manages assets specified under Schedule 16C to the Inland Revenue Ordinance for the FIHV(s) of the family with an aggregate Net asset value of not less than HK\$240 million.

Does your Holding Company fulfill all the above criteria? \*

Yes  No

Name of Holding Company \*

Business Registration No. of Company \*

Name of the Family Office \*

If an Applicant holds the Permissible investment assets through a Holding Company which fulfills the conditions in paragraph 1.11 of the Scheme Rules, he/she is required to fill in the information of the Holding Company concerned and the Eligible Single Family Office of the Applicant's family.

To the best of your knowledge, is there any other Applicant(s) who has/have made investment under New CIES in the name of another Holding Company managed by the same Eligible Single Family Office, and already submitted an application to InvestHK for assessment on Investment Requirements? \*

Yes  No

Family Office Number for the New CIES of InvestHK (if any)



Please refer to the email from InvestHK on "Application from Holding Company managed by Family Office" which was sent to other applicants from the same Eligible Single Family Office and fill in your Family Office Number.

Note:

If you need to enter more than one Holding Company, you can separate them with ;.

If applicable, an Applicant should fill in the Family Office number as assigned by InvestHK in this box.

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## 8) Financial Intermediary

### 8a) Designated Account in Own Name and Financial Intermediary

Please refer to paragraph 6.1(a)(ii) of the [Scheme Rules](#).

I hold part/all of the Permissible investment assets in a designated account in my own name.

Authorised institution as defined in the Banking Ordinance (Cap. 155 of the Laws of Hong Kong)

Corporation licensed to perform Type 1 or 9 regulated activities under the Securities and Futures Ordinance (Cap. 571 of the Laws of Hong Kong)

Insurer permitted to carry on Class C business as specified in Part 2 of Schedule 1 under the Insurance Ordinance (Cap. 41 of the Laws of Hong Kong)

I have not opened a designated account in my own name.

If an Applicant holds the Permissible investment assets in the designated account(s) in his/her own name, he/she is required to select the appropriate category(ies) and fill in the information of the appointed financial intermediary(ies).

An Applicant who has not opened any designated account in his/her own name must check here.

### 8b) Designated Account in Name of Holding Company and Financial Intermediary

Please refer to paragraphs 1.11 and 6.1(a)(ii) of the [Scheme Rules](#).

I hold part/all of the Permissible investment assets in a designated account in the name of a Holding Company as defined in paragraph 1.11 of the Scheme Rules.

Authorised institution as defined in the Banking Ordinance (Cap. 155 of the Laws of Hong Kong)

Corporation licensed to perform Type 1 or 9 regulated activities under the Securities and Futures Ordinance (Cap. 571 of the Laws of Hong Kong)

Insurer permitted to carry on Class C business as specified in Part 2 of Schedule 1 under the Insurance Ordinance (Cap. 41 of the Laws of Hong Kong)

Note:

If you need to enter the Financial Intermediaries for more than one Holding Company, you can separate them with ;.

I have not opened a designated account in the name of a Holding Company.

If an Applicant holds the Permissible investment assets in the designated account(s) in the name of a Holding Company, he/she is required to select the appropriate category(ies) and fill in the information of the appointed financial intermediary(ies).

An Applicant who has not opened any designated account in the name of a Holding Company must check here.

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## 8) Financial Intermediary (Continued)

After selecting the appropriate category(ies), an Applicant is required to fill in the information of the appointed financial intermediary(ies) in the boxes provided.

### 8a) Designated Account in Own Name and Financial Intermediary

Please refer to paragraph 6.1(a)(ii) of the [Scheme Rules](#).

I hold part/all of the Permissible investment assets in a designated account in my own name.

Authorised institution as defined in the Banking Ordinance (Cap. 155 of the Laws of Hong Kong)

Name of financial intermediary \*

ABC BANK

Account no. \*

ABCD1234

Name of contact person \*

CHAN TAI MAN

Post title \*

MANAGER

Telephone no. \*

+ 852 3904 3001 Ext. (if any)

Email address \*

newcies@investhk.gov.hk

Upload the contract with the financial intermediary \*

Choose a file or drag it here  
Accept file format: PDF  
Size up to 3.0 MB

An Applicant must upload the contract with the appointed financial intermediary in the specified format within the size limit.

Corporation licensed to perform Type 1 or 9 regulated activities under the Securities and Futures Ordinance (Cap. 571 of the Laws of Hong Kong)

Insurer permitted to carry on Class C business as specified in Part 2 of Schedule 1 under the Insurance Ordinance (Cap. 41 of the Laws of Hong Kong)

I have not opened a designated account in my own name.

### 8b) Designated Account in Name of Holding Company and Financial Intermediary

Please refer to paragraphs 1.11 and 6.1(a)(ii) of the [Scheme Rules](#).

I hold part/all of the Permissible investment assets in a designated account in the name of a Holding Company as defined in paragraph 1.11 of the Scheme Rules.

Authorised institution as defined in the Banking Ordinance (Cap. 155 of the Laws of Hong Kong)

Name of financial intermediary \*

ABC BANK

Account no. \*

ABCD1234

Name of contact person \*

CHAN TAI MAN

Post title \*

MANAGER

Telephone no. \*

+ 852 3904 3001 Ext. (if any)

Email address \*

newcies@investhk.gov.hk

Upload the contract with the financial intermediary \*

Choose a file or drag it here  
Accept file format: PDF  
Size up to 5.0 MB

Corporation licensed to perform Type 1 or 9 regulated activities under the Securities and Futures Ordinance (Cap. 571 of the Laws of Hong Kong)

Insurer permitted to carry on Class C business as specified in Part 2 of Schedule 1 under the Insurance Ordinance (Cap. 41 of the Laws of Hong Kong)

Note:

If you need to enter the Financial Intermediaries for more than one Holding Company, you can separate them with ;.

I have not opened a designated account in the name of a Holding Company.

## 9) Permissible Investment Assets

### Permissible Investment Assets

You, whether or not in combination with investment(s) in real estate, have to hold the investment in the Permissible financial assets in designated account(s) in your own name and/or in the name of your Holding Company to be operated by eligible financial intermediary(ies).

Please fill in the sub-total of Hong Kong Dollar (HK\$) equivalent of the following Permissible Investment Assets that you have invested in Hong Kong in accordance with your Permissible Investment Assets Statement:

#### (I) Investment in Permissible Financial Assets

Please refer to paragraph 5.1(a)-(f) of the [Scheme Rules](#).

HK\$ equivalent of sub-total amount invested

##### (a) Equities

27000000

##### (b) Debt securities

HK\$ equivalent

##### (c) Certificates of deposits

HK\$ equivalent

##### (d) Subordinated debt

HK\$ equivalent

##### (e) Eligible Collective Investment Schemes

Please click if applicable

(f) Ownership interest in limited partnership funds ("LPFs") registered under the Limited Partnership Fund Ordinance (Cap. 637 of the Laws of Hong Kong)

Please click if applicable

#### SUB-TOTAL OF PERMISSIBLE FINANCIAL ASSETS:

27000000

An Applicant must fill in the value of his/her investment in the Permissible financial assets in Hong Kong Dollar (HK\$) or HK\$ equivalent.

## 9) Permissible Investment Assets (Continued)

### (2) Investment in Real Estate

Please refer to paragraph 5.2 of the [Scheme Rules](#).

The real estate should be held in your own name or through a sole proprietorship under your name, or through a company of which you being the sole shareholder (including Holding Company as defined in paragraph 1.11 of the Scheme Rules). Non-residential real estate and residential real estate mean "non-residential property" and "residential property" as defined in section 29A of the Stamp Duty Ordinance (Cap. 117 of the Laws of Hong Kong) respectively.

HK\$ equivalent of sub-total amount invested (Net value excluding mortgage amounts (if applicable))

Non-residential Real Estate

HK\$ equivalent

Residential Real Estate

HK\$ equivalent

**SUB-TOTAL OF REAL ESTATE:**

0

An Applicant is required to fill in the value of his/her investment in the real estate (if applicable) in HK\$ or HK\$ equivalent.

### (3) CIES Investment Portfolio

Please refer to paragraphs 5.3 and 5.4 of the [Scheme Rules](#).

I have deposited cash of HK\$3 million to the following designated account opened with my appointed financial intermediary for subsequent placing into the CIES Investment Portfolio. \*

Name of financial intermediary \*

ABC BANK

Account no. \*

ABCD1234

Date of deposit \*

2025-03-01

An Applicant is required to fill in the information of the deposit to be placed into the CIES Investment Portfolio.

**Total of Permissible investment assets ( (1) + (2) + (3) )**

30000000

\* Mandatory fields

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## 10) Declaration of Applicant

### Declaration of Applicant

- (i) I declare that the information given in the application and all the supporting documents is correct, complete and true to the best of my knowledge and belief.
- (ii) I declare that in relation to Paragraph 5 of the Rules for the New Capital Investment Entrant Scheme, the Permissible investment assets under the New Capital Investment Entrant Scheme stated in the Fulfillment document submitted for this application are under my absolute beneficial entitlement and the purchase price(s) for the aforesaid Permissible investment asset(s) is/are paid out of my and/or my Holding Company's bank account and own monies.
- (iii) I consent to the making of any enquiries necessary for the processing of this application.
- (iv) I consent to releasing my information to my appointed bodies, as well as any government bureaux, departments and any other public or private organisations inside or outside the Hong Kong Special Administrative Region ("HKSAR") for the purpose of processing of this application.
- (v) I authorise all government departments or authorities, other quasi-government organisations and the Hong Kong Investment Corporation Limited and its appointed organisations to release any record or information which Invest Hong Kong of the Government of the HKSAR may require for the processing of this application.
- (vi) I understand that the Director-General of Investment Promotion has absolute discretion to approve or disapprove this application.
- (vii) I understand that any investment in Hong Kong is and remains my sole decision and responsibility. The Government of the HKSAR and the Director-General of Investment Promotion shall not be liable for any loss on my investment pursuant to the New Capital Investment Entrant Scheme howsoever arising.
- (viii) I have read and understood the Rules for the New Capital Investment Entrant Scheme and I hereby undertake to abide by them if permitted to remain in Hong Kong as an Entrant under the New Capital Investment Entrant Scheme. I understand that failure to comply with the Rules for the New Capital Investment Entrant Scheme will render my application not being considered and my entitlement under the New Capital Investment Entrant Scheme being discontinued.

I have read the provisions of this Declaration carefully and fully understood my obligations and liabilities under this Declaration. \*

An Applicant must check the box to confirm that he/she has read the provisions of the Declaration carefully and fully understood his/her obligations and liabilities under the Declaration.

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## 11) Signature of Applicant

**Signature of Applicant**

Please upload an image of your signature. \*



Choose a file or drag it here  
Accept file format: JPG, JPEG, PNG  
Size up to 2.0 MB

An Applicant must upload an image of his/her signature in the specified format within the size limit.

\* Mandatory fields

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## 12) Statement of Purpose

An Applicant shall read the Statement of Purpose for Collection of Personal Data carefully.

### **Statement of Purpose**

#### **1. Purpose of Collection**

The personal data provided in the application will be used by Invest Hong Kong for one or more of the following purposes:

1. to process your application;
2. for statistics and research purposes on the condition that the resulting statistics or results of the research will not be made available in a form which will identify the data subjects or any of them; and
3. any other legitimate purposes as may be required, authorised or permitted by law.

The provision of personal data by means of this application is voluntary. If you do not provide sufficient information, we may not be able to process your application or to conduct the record search or positively identify the record.

#### **2. Classes of Transferees**

The personal data you provide may be disclosed to any government bureaux, departments and any other public or private organisations inside or outside the Hong Kong Special Administrative Region for the purposes mentioned above.

#### **3. Access to Personal Data**

You have a right to request access to and correction of your personal data as provided for in sections 18 and 22 and Principle 6 of Schedule 1 of the Personal Data (Privacy) Ordinance (Chapter 486). Your right of access includes the right to obtain a copy of your personal data provided in the application subject to payment of a fee.

Enquiries concerning the personal data collected by means of the application, including making of access and corrections, should be addressed to:

Head of Corporate Services, Invest Hong Kong

Address: 25/F, Fairmont House, 8 Cotton Tree Drive, Central, Hong Kong

Telephone no.: (852) 3107 1073

E-mail address: data-protection@investhk.gov.hk

#### **4. General Enquiries**

For general enquiries, please contact us at:

Telephone no.: (852) 3904 3001

E-mail address: newcies@investhk.gov.hk

Website: www.newcies.gov.hk

\* Mandatory fields

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### 13) Documents to be Submitted by Post/in Person after Online Submission

An Applicant must submit the required documents within seven calendar days by post/in person to the New CIES Office after submitting the online application.

#### Documents to be Submitted by Post/in Person after Online Submission

After submitting the online application, the following necessary documents must be submitted within seven calendar days by post/in person to the New CIES Office (Address: 15/F, Revenue Tower, 5 Gloucester Road, Wan Chai, Hong Kong). If you fail to submit the documents within the deadline, it may render your application invalid and you will have to resubmit the online application:

- (a) a Fulfillment document issued by a Certified Public Accountant ("CPA") (Practising) as defined in the Accounting and Financial Reporting Council Ordinance (Cap. 588 of the Laws of Hong Kong) (including Permissible Investment Assets Statement)\*;
- (b) statements issued by the appointed financial intermediary(ies) showing your investment in Permissible investment assets under the New CIES;
- (c) should you invest in real estate, proof of acquisition and relevant documents, e.g. Land Registry record, etc.; and
- (d) all relevant supporting documents (originals, or photocopies certified true by you, i.e. duly signed by you on the first and last pages of each set of supporting documents) stated in the Fulfillment document, including but not limited to the documents in paragraphs (b) and (c) above, e.g. bank statements or letters, documents proving fulfillment of all the conditions of Holding Company, etc., assisted in demonstrating your fulfillment of the Investment Requirements under the New CIES.

\*No more than 14 calendar days may elapse between the date of issue of the Fulfillment document and the date you lodge this application for Assessment on Investment Requirements.

\* Mandatory fields

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## 14) Review & Confirm

### Documents to be Submitted by Post/in Person after Online Submission

After submitting the online application, the following necessary documents must be submitted within seven calendar days by post/in person to the New CIES Office (Address: 15/F, Revenue Tower, 5 Gloucester Road, Wan Chai, Hong Kong). If you fail to submit the documents within the deadline, it may render your application invalid and you will have to resubmit the online application:

- (a) a Fulfillment document issued by a Certified Public Accountant ("CPA") (Practising) as defined in the Accounting and Financial Reporting Council Ordinance (Cap. 588 of the Laws of Hong Kong) (including Permissible Investment Assets Statement)\*;
- (b) statements issued by the appointed financial intermediary(ies) showing your investment in Permissible investment assets under the New CIES;
- (c) should you invest in real estate, proof of acquisition and relevant documents, e.g. Land Registry record, etc.; and
- (d) all relevant supporting documents (originals, or photocopies certified true by you, i.e. duly signed by you on the first and last pages of each set of supporting documents) stated in the Fulfillment document, including but not limited to the documents in paragraphs (b) and (c) above, e.g. bank statements or letters, documents proving fulfillment of all the conditions of Holding Company, etc., assisted in demonstrating your fulfillment of the Investment Requirements under the New CIES.

\*No more than 14 calendar days may elapse between the date of completion of the Fulfillment document and the date of submission for Assessment on Investment Requirements.

An Applicant should review his/her application summary carefully and provide an email address to receive the acknowledgement email for future reference before clicking "Submit".

### Submission Acknowledgement

Please provide your email address to receive acknowledgement email for future reference:

Email \*

If an Applicant wishes to amend the information, he/she can click "Back" to return to the respective page.

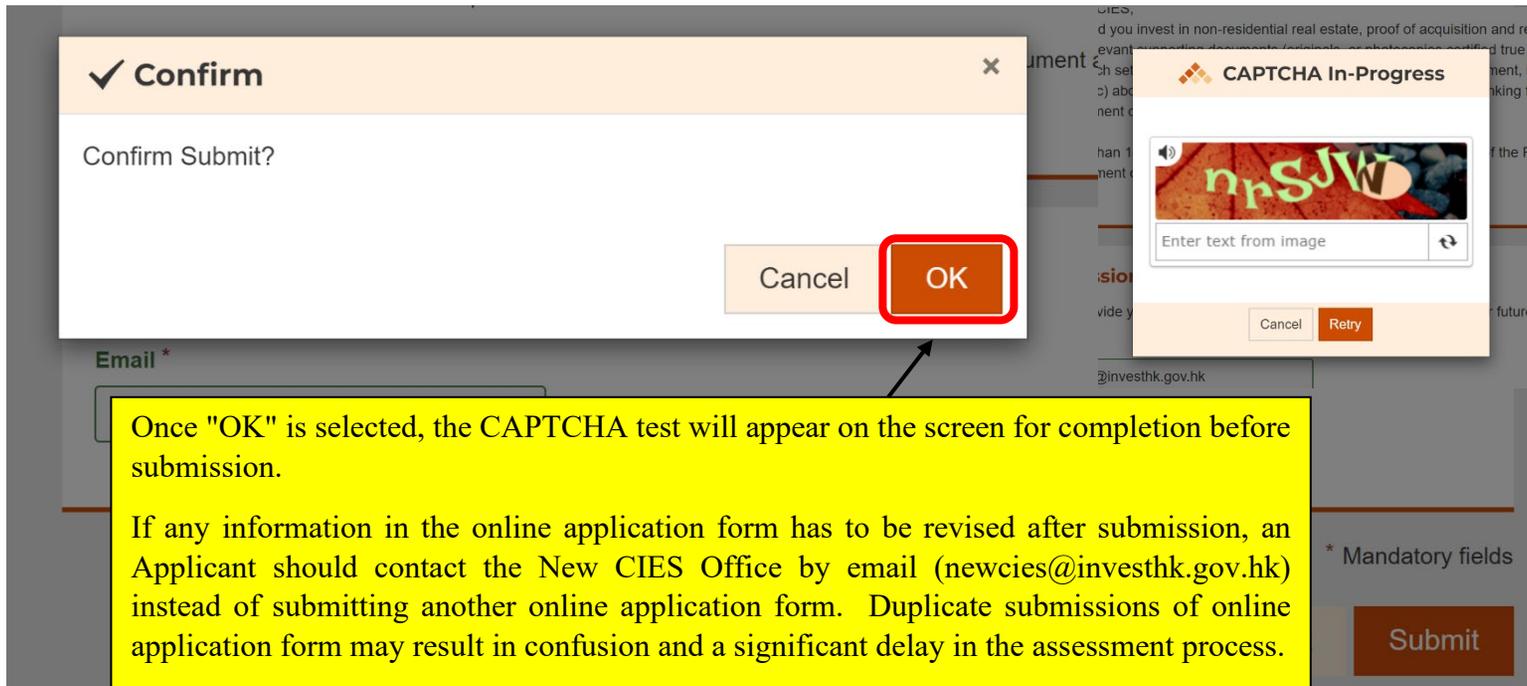
\* Mandatory fields

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Submit

## 14) Review & Confirm (Continued)



Once "OK" is selected, the CAPTCHA test will appear on the screen for completion before submission.

If any information in the online application form has to be revised after submission, an Applicant should contact the New CIES Office by email ([newcies@investhk.gov.hk](mailto:newcies@investhk.gov.hk)) instead of submitting another online application form. Duplicate submissions of online application form may result in confusion and a significant delay in the assessment process.

\* Mandatory fields

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## 15) Acknowledgement

### Submission Details

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Should you have any enquiries, please contact the respective bureau, department or organisation -

New Capital Investment Entrant Scheme Office

Telephone no.: 3904 3001

Email: [newcies@investhk.gov.hk](mailto:newcies@investhk.gov.hk)